HAMILTON, BROOK, SMITH & REYNOLDS, P.C. JC10 Rec'd PCT/PTO 0 6 MAY 2005

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 3912.1000-000

U.S. APPLICATION NO. (IEL nown, ac 37 Eq. 1.5)

INTERNATIONAL APPLICATION NO. PCT/EP2003/012377

O6 NOVEMBER 2003 (06.11.03) EARLIEST PRIORITY DATE CLAIMED 07 NOVEMBER 2002 (07.11.02)

TITLE OF INVENTION

Process for Expression and Secretion of Proteins by the Non-Conventional Yeast Zygosaccharomyces Bailii

APPLICANT(S) FOR DO/EO/US

Danilo Porro, Paola Branduardi, Minoska Valli, and Lilia Alberghina

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. [] is attached hereto (required only if not communicated by the International Bureau).
 - b. [X] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. [] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been communicated by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 pgs.), PTO-1449 (2 pgs..), copies of References B1, B2, B3 and C1 and copy of EPO International Search Report.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment (6 pgs.).
- 14. [] An Application Data Sheet under 37 CFR 1.76.
- 15. [] A substitute specification.
- 16. [] A power of attorney and/or change of address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. [] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X] Other items or information: (1) Copy of Published International Application in English.

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	NO. (if known, see 37 C		ternational applicatio CT/EP2003/01237			912.1000-000		
The following fees have been submitted					CALCULATIONS	PTO USE ONLY		
21. [X] Basic national fee					\$ 300.00			
22. [X] Examination fee								
If International preliminary examination report prepared by USPTO and all								
claims satisfied provisions of PCT Article 33(1)-(4)						\$ 200.00		
All other situations \$200.00 23. [X] Search fee						\$ 200.00		
Search fee (37 C	CFR 1.445(a)(2))							
application to the USPTO as an International Searching Authority \$100.00								
International Search Report prepared and provided to the Office						\$ 400.00		
TOTAL OF 21, 22 and 23 =						\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding								
	isting or compute							
\$250 for ea	ach additional 50							
Total Sheets	Extra sheets		of each additional 50 of (round up to a whole	l l	RATE			
- 100 =	/50 =				x \$250.00	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).						\$		
CLAIMS					RATE			
Total claims	3 21	- 20 =	1	х	\$50.00	\$50.00		
Independent cla	ims 2	- 3 =	0	x S	\$200.00	\$0.00		
MULTIPLE DE	PENDENT CLA	\$						
TOTAL OF ABOVE CALCULATIONS =						\$950.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$		
SUBTOTAL =						\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$		
TOTAL NATIONAL FEE =						\$950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be								
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$		
TOTAL FEES ENCLOSED =						\$950.00		
						Amount to be refunded:	\$	
						Amount to be charged:	\$	
a. [X] A check in the amount of \$950.00 to cover the above fees is enclosed.								
b. [] Please charge my Deposit Account No. 08-0380 in the amount of \$[] to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. [X] The Commissioner is hereby authorized to charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
(1) (1-10)								
SEND ALL CORRI	ESPONDENCE TO:				25/10			
Customer No. 021005								
HAMILTON, BROOK, SMITH & REYNOLDS, P.C. Troy T. Svihl								
530 Virginia Road P.O. Box 9133								
Concord, MA 01742-9133 55,845								
REGISTRATION NUMBER								



IN THE UNITED STATES RECEIVING OFFICE (RO/US) Designated/Elected Office (DO/EO/US)

U.S. National Stage of

International Application No.: PCT/EP2003/012377

International Filing Date:

6 November 2003

Earliest Priority Date Claimed:

7 November 2002

Applicants:

Danilo Porro, Paola Branduardi, Minoska Valli, and

Lilia Alberghina

Title:

Process for Expression and Secretion of Proteins by the

Non-Conventional Yeast Zygosaccharomyces Bailii

Attorney's Docket No.:

3912.1000-000

Date: 6 May 2005

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